Deep brain stimulation (DBS) of the ventral capsule/ventral striatum (VC/VS) reduces symptoms of intractable obsessive-compulsive disorder (OCD), but the mechanism of action is unknown. OCD is characterized by avoidance behaviors that fail to extinguish, and DBS could act, in part, by facilitating extinction of fear. We investigated this possibility by using auditory fear conditioning in rats, for which the circuits of fear extinction are well characterized. We found that DBS of the VS (the VC/VS homolog in rats) during extinction training reduced fear expression and strengthened extinction memory. Facilitation of extinction was observed for a specific zone of dorsomedial VS, just above the anterior commissure; stimulation of more ventrolateral sites in VS impaired extinction. DBS effects could not be obtained with pharmacological inactivation of either dorsomedial VS or ventrolateral VS, suggesting an extrastriatal mechanism. Accordingly, DBS of dorsomedial VS (but not ventrolateral VS) increased expression of a plasticity marker in the prefrontal cortex, the orbitofrontal cortex, the amygdala central nucleus (lateral division), and intercalated cells, areas known to learn and express extinction. Facilitation of fear extinction suggests that, in accord with clinical observations, DBS could augment the effectiveness of cognitive behavioral therapies for OCD.

Deep brain stimulation (DBS) is a neurosurgical technique that has become the standard of care for movement disorders (1–3) and is under investigation in major depression (4–6). In DBS, chronic high-frequency stimulation of specific sites reduces symptoms in medically intractable illness. DBS of the ventral capsule and the adjacent ventral striatum (VC/VS) has been used to treat refractory obsessive-compulsive disorder (OCD) in the European Union and the United States (7–10). Little is known about how DBS acts in OCD, emphasizing the need for translational animal studies. A prominent feature observed in most OCD patients is repetitive avoidance behaviors to perceived threats (11, 12). The persistent avoidance in OCD suggests a deficit in circuits that regulate fear extinction (11). Given the strong parallels between fear circuits in rodents and humans (13), well-characterized rodent models of fear conditioning could shed light on DBS mechanisms in OCD and related illnesses that feature pathological anxiety.

The DBS target for OCD is the VC/VS (14), which contains fiber bundles interconnecting cortical areas implicated in fear extinction, such as the ventromedial prefrontal cortex, the dorsal anterior cingulate cortex, and the orbitofrontal cortex (OFC), with subcortical areas implicated in conditioned fear, such as the amygdala (15). Previous studies in anesthetized rats (16, 17) have shown that DBS of the VS modifies the excitability of the OFC as well as prefrontal (PL) and infralimbic (IL) prefrontal regions that modulate fear via projections to the amygdala (18). This finding suggests that DBS might regulate fear extinction; however, this hypothesis has never been tested. Along these same lines, it has been observed that DBS enables some OCD patients to respond to extinction-based therapies to which they previously failed to respond (9).

To investigate the impact of DBS on fear extinction, we administered 3 h of high-frequency stimulation of VS during extinction training in rats previously conditioned to freeze to tones paired with footshocks. We assessed the effects of DBS on freezing and the expression of phosphorylated extracellular signal-regulated kinase (pERK), a marker for plasticity. We describe discrete subregions of the VS in which DBS given concurrently with extinction can either facilitate or impair extinction memory. Furthermore, extinction-facilitating DBS induces a specific pattern of plasticity in the prefrontal-amygdala circuits that learn extinction, consistent with augmentation of extinction memory.

### Results

**DBS of Specific Regions Within the VS Either Enhances or Impairs Extinction.** Rats were tested over 3 d: on day 1 they were conditioned with tones paired with footshocks, on day 2 they were extinguished with tones in the absence of footshock, and on day 3 they were given additional extinction trials to test for extinction memory. DBS-like high-frequency stimulation (130 Hz) was delivered to the VS on day 2, continuously for 3 h: 1 h before, 1 h during, and 1 h after extinction training (see Fig. 14 for behavioral protocol). Combining all striatal placements, there was no effect of DBS on fear expression or extinction retrieval \( F_{[1, 28]} = 0.20; P = 0.66 \). We next examined whether the effect of DBS varied with electrode position. We used a stimulus current of 100–200 μA, and a pulse width of 0.1 ms, which is estimated to activate fibers within a sphere of 0.3- to 0.5-mm radius (19). Fig. 1B shows electrode placements color-coded for extinction memory (percentage of acquired freezing expressed at retrieval). Placements resulting in reduced freezing during extinction retrieval (facilitated extinction, Fig. 1B, red circles) were located in the dorsomedial portion of the VS, whereas placements resulting in increased freezing at retrieval (impaired extinction, Fig. 1B, blue circles) were located in more ventral and lateral parts of the VS.

Using the anterior commissure as a reference, we identified three subgroups: those stimulated dorsal to the commissure (Dorsal DBS), those stimulated ventral to the commissure (Ventral DBS), and those not stimulated ( Sham; Fig. 1B). The three groups did not differ from each other during conditioning \( F_{[2, 27]} = 0.06; P = 0.94 \), but did differ during extinction training \( F_{[2, 27]} = 5.44; P = 0.01 \) and extinction retrieval \( F_{[2, 27]} = 10.97; P < 0.001 \). During extinction training (in the presence of stimulation), rats receiving Dorsal DBS showed significantly less freezing than Sham rats did in the first six trial blocks (Tukey post hoc tests, all \( P < 0.05; \) Movie S1). During extinction retrieval in the absence of stimulation, Dorsal DBS and Ventral DBS groups were significantly lower and higher, respectively, than the Sham group in the first trial block (both \( P < 0.001 \)) and showed a significant interaction between treatment and trial block \( F_{[6, 81]} = 2.94; P = 0.01 \). Thus, Dorsal DBS strengthened memory for tone extinction, whereas Ventral DBS weakened extinction memory.


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impaired it. Dorsal DBS also appeared to strengthen extinction to the context because the Dorsal DBS group showed reduced freezing before the first tone ($H_{(2,30)} = 7.76; P = 0.02$; Mann–Whitney $P = 0.04$), whereas the Ventral DBS group did not (Mann–Whitney $P = 0.21$). DBS of the dorsal or ventral sites did not alter spontaneous behaviors, such as the rate of bar-pressing, locomotion, or avoidance of an open field, when tested 1 wk after fear testing (all $P > 0.3$; Fig. 1D and Movie S2).

At the conclusion of the retrieval test on day 3, rats were given two unsignaled footshocks to reinduce their extinguished fear. The following day (day 4), there was no longer any difference between Dorsal DBS and Sham groups (Fig. 1C), indicating that DBS did not eliminate the original fear memory. The Ventral DBS group was significantly higher than the Dorsal DBS group, $[F_{(2,27)} = 3.72, P = 0.038]$; post hoc $P = 0.042$], but not when compared with the Sham group (post hoc $P = 0.065$).

**DBS Interacts Directly with Extinction Training.** DBS during extinction training improves subsequent retrieval of extinction, but is extinction training required for DBS’s effects? To address this question, we administered Dorsal DBS during either partial extinction (eight trials) or no extinction (zero trials, home cage) for the equivalent period (3 h). The effect of DBS on extinction memory varied directly with the extent of extinction training. As shown in Fig. 2A, DBS in the absence of extinction training had no effect on freezing the following day (first block: Sham, 48%; Dorsal DBS, 44%; $t_{(11)} = 0.33; P = 0.75$), whereas rats given 15 extinction trials during DBS showed robust facilitation of extinction memory by DBS (first block: Sham, 41%; Dorsal DBS, 9%; $t_{(20)} = 2.23; P = 0.038$; Fig. 1C). Rats given an intermediate level of extinction showed an intermediate effect of DBS (first block: Sham, 52%; Dorsal DBS, 29%; $t_{(21)} = 2.11; P = 0.047$; Fig. 2A).

In the preceding experiments, DBS was administered during preextinction, extinction, and postextinction periods, leaving in doubt the critical period for DBS’s effects. We therefore repeated the experiment with the same duration of DBS but confined it to either 3 h before extinction or 3 h after extinction. As shown in Fig. 2B, neither procedure facilitated extinction memory (preextinction: $t_{(17)} = -0.49; P = 0.63$; postextinction: $t_{(13)} = 0.40; P = 0.69$). Thus, once turned off, DBS alone does not have a lasting effect on extinction, nor does DBS affect consolidation of extinction. These data also suggest that DBS must be given concurrently with extinction training to facilitate extinction. Altogether, these results suggest that Dorsal DBS does not itself induce a memory for extinction but strengthens plasticity induced by extinction training.

**DBS Does Not Act via Inhibition of Striatal Neurons.** DBS of the VS could modulate extinction via local effects, such as increased GABAergic inhibition, as demonstrated in motor systems (20–24). To determine whether increased inhibition within the VS would be sufficient for facilitating extinction, we infused fluorescently labeled muscimol (MUS), a GABA$_A$ agonist, into the Dorsal or Ventral VS sites shortly before extinction training. An infusion volume of 0.1–0.2 μL approximated the spread of DBS current (Fig. 3A). Consistent with previous findings (25, 26), inactivation of the Dorsal DBS site significantly reduced the rate of bar-pressing for food ($t_{(15)} = 2.25; P = 0.039$; Fig. 3B), whereas inactivation of the Ventral DBS site did not ($t_{(16)} = 0.89; P = 0.39$). Despite this result, MUS in either the Dorsal or Ventral DBS sites had no effect on extinction training [$F_{(2,26)} = 0.184; P = 0.83$] or extinction retrieval [$F_{(2,26)} = 0.054; P = 0.95$; Fig. 3C]. Thus, similar to results found in investigations of DBS in prefrontal cortex (27), we were unable to obtain the behavioral effects of DBS with local pharmacological inactivation. Together with the use of short pulse widths to selectively activate fibers (28–30), these findings suggest that DBS facilitates extinction by acting on targets and/or origins of fibers passing through the VS.

**DBS Induces Plasticity in Extinction Circuitry.** To evaluate the underlying circuitry that might mediate DBS facilitation of extinction, we performed an immunocytochemical analysis for pERK, a marker of cell plasticity (see ref. 31 for a review). Untrained rats were given either Dorsal DBS or Ventral DBS for 3 h and then killed immediately. We used untrained rats to assess the effects of DBS on pERK independently of extinction-induced pERK expression (32–34). We restricted our analysis to the mPFC and the amygdala, the two main regions involved in ex-
tinction learning (18), as well as the OFC, a region implicated in OCD (35). Compared with Sham-operated controls, Dorsal DBS significantly increased the number of pERK-labeled neurons in the mPFC and the OFC (effect of treatment: \( F_{(5, 46)} = 66.17, P < 0.001 \), with post hoc tests revealing highly significant increases in PL (\( P < 0.001 \)), IL (\( P = 0.004 \)), and ventrolateral OFC (\( P = 0.005 \)) subregions (Fig. 4A). The amygdala also showed significant changes in pERK \( F_{(5, 42)} = 54.13; P < 0.001 \), with post hoc tests revealing a significant increase in the lateral division of the central nucleus and intercalated cells (CeL/ITC; \( P < 0.001 \)). There were no changes, however, in the basal nucleus of the amygdala (BA; \( P = 0.98 \)) or medial division of the central nucleus (CeM; \( P = 0.93 \)). In contrasts to Dorsal DBS, Ventral DBS did not alter pERK expression in any of these areas (all post hoc Tukey tests, \( P > 0.2 \); Fig. 4B). Thus, DBS that facilitated extinction memory also induced plasticity in PL, IL, and CeL/ITC, areas implicated in fear regulation and extinction (18, 36, 37), and sites of extinction-induced pERK (32–34).

**Discussion**

We used a rodent model to assess the effects of DBS on extinction of conditioned fear, targeting a striatal region homologous to the VS/ventral capsule target for OCD. We observed that DBS applied just dorsal to the anterior commissure (Dorsal DBS) facilitated extinction, whereas DBS applied ventral to the commissure (Ventral DBS) impaired extinction. The degree of facilitation of extinction with DBS correlated with the amount of extinction training. Dorsal DBS induced expression of the plasticity marker pERK in areas previously implicated in extinction, such as the mPFC (PL/IL), OFC, and amygdala (CeL/ITC), consistent with augmentation of extinction memory. Given that extinction is deficient in anxiety disorders, including OCD (38), these findings suggest that clinical DBS may act to restore faulty extinction circuitry.

The most straightforward mechanism for these effects is that DBS acts locally on striatal neurons. A local mechanism of action has been reported for the subthalamic nucleus, where DBS augments inhibitory circuits to dampen neuronal activity (20–22). Several factors, however, argue against a local mechanism in the present study: (i) we observed opposite effects of DBS with small variations in electrode placement across the core of the nucleus accumbens, (ii) we observed that pharmacological inhibition with the GABA_A agonist MUS did not alter fear expression or extinction retrieval (ref. 39 but see ref. 40), and (iii) 3 h of DBS of VS was previously shown to increase gamma activity in prefrontal and orbitofrontal areas but not locally in the striatum (16, 17).

Consistent with an extrastriatal locus of action, DBS of the dorsal site increased pERK labeling in the IL and PL cortices as well as in the CeL/ITC. IL is a critical site of plasticity for extinction (18), and neurons in IL increase their tone responses and bursting after extinction (41, 42). PL is important for expression of conditioned fear (43, 44), and PL tone responses are inversely correlated with extinction retrieval (42). IL and PL project to the CeL/ITC and BA, respectively (45). CeL/ITC contains inhibitory circuitry that regulates fear expression by gating CeM output (36, 37, 46, 47). Similar to the pattern we observed with DBS, extinction training has been shown to activate pERK in this same set of structures (32–34), and blocking pERK activity in either mPFC or amygdala prevents the development of extinction memory (48, 49). Thus, plasticity induced by Dorsal DBS may shift the balance of IL/PL activity to favor fear inhibition via CeL/ITC. This circuit likely involves the OFC, which is hyperactive in OCD subjects (50–52) and projects robustly to the mPFC and the amygdala (53).

By what mechanism could striatal DBS facilitate prefrontal-amygdala circuits? McCracken and Grace have proposed that antidromic activation of descending OFC fibers by striatal DBS potentiates inhibition within the OFC (16) and increases the
coherence between the mPFC and OFC (17). In this way, DBS could decrease the excitatory drive within the fear circuit. Alternatively, DBS could facilitate the development of extinction-related plasticity. DBS in the absence of tones induced a pattern of pERK labeling similar to that observed with extinction training, but DBS alone was not sufficient to induce extinction. Therefore, DBS may serve to prime extinction circuits so that extinction is more readily learned and retrieved. This priming could occur, for example, by triggering pERK cascades that converge with tone inputs, thereby lowering the threshold for NMDA-dependent potentiation of those inputs. Further experiments are needed to determine the extent to which striatal DBS inhibits fear-promoting structures (PL and OFC) and/or potentiates fear-inhibiting structures (IL and CeL/ITC). This rodent model should facilitate subsequent analyses of molecular mechanisms involved in the therapeutic effects of VC/VS DBS for OCD.

Our findings could shed light on the mechanism by which DBS ameliorates symptoms of OCD. There is a high degree of homology of fear circuits in rodents and humans, especially in the prefrontal cortex and amygdala (13, 54–57). Fibers from the ventromedial prefrontal cortex (a homolog of IL) and the dorsal anterior cingulate cortex (a homolog of PL) pass through the VS and ventral capsule on their way to the amygdala and thalamus (15). Clinical DBS of the VC/VS site shows a similar dorsal-ventral pattern to what we observed here: stimulation ventral to the most clinically effective site can induce panic and fear (58, 59). Moreover, extinction is the basis of cognitive-behavior therapy for OCD, known as exposure with response prevention (ERP) (60, 61). Patients who failed ERP before neurosurgery are able to respond to ERP during DBS (9), perhaps reflecting a facilitation of extinction learning. In addition to strengthening extinction memory, our findings suggest that DBS might make ERP more tolerable by reducing fear and anxiety during the sessions. The delayed onset of symptom reduction of DBS (9) could reflect delays associated with initiating and completing a course of ERP. Combining DBS with extinction-based therapies may be necessary to achieve the full clinical benefit of DBS for OCD as well as for related anxiety and mood disorders.

Materials and Methods

Subjects. One hundred twenty-nine male Sprague-Dawley rats (~325 g; Harlan Laboratories) were housed and handled as previously described (62). Briefly, rats were fed standard rat chow in a restricted manner (18 g/d) to facilitate pressing a bar for food on a variable interval schedule of reinforcement (VI-60), which maintained a constant baseline against which freezing could be reliably measured (62). All procedures were approved by the Institutional Care and Use Committee from the University of Puerto Rico School of Medicine, in compliance with the National Institutes of Health.

Surgery. Rats were anesthetized with ketamine (100 mg/kg, i.p.) and xylazine (12 mg/kg, i.p.) and stereotaxically implanted with concentric bipolar stimulating electrodes (NEX-100; Rhodes Medical Instruments) as previously described (63). Initially, we targeted a large area of VS, but after the first set of experiments (Fig. 1), electrodes were aimed at a dorsal DBS site (~6.5 mm dorsoventral, ±2.0 mm mediolateral, and +1.2 mm anteroposterior) and a ventral DBS site (~6.5 mm dorsoventral, ±2.0 mm mediolateral, and +1.2 mm anteroposterior). Electrodes were fixed to the skull with anchoring screws and acrylic cement. Rats were allowed 5–7 d of recovery before behavioral testing initiated.

Behavior. Fear conditioning and extinction. After recovering from surgeries, rats were connected to a cable and commutator for 2 d before fear conditioning to allow rats to habituate to the cable. Rats underwent bar-press training, auditory fear conditioning, and extinction in standard operant chambers (Coulbourn Instruments) inside sound-attenuating boxes (Med Associates). Further details regarding the apparatus have been previously described (62). On day 1, rats were presented with five nonreinforced tones (4 kHz, 78 dB, 30 s; habituation) followed by seven tones that cotermminated with footshocks (0.5 s, 0.56 mA; conditioning). On day 2, rats were presented with either 15 tones in the absence of footshocks, 8 tones, or 0 tones. DBS was conducted for 3 h with the extinction session occurring during the second hour. Another set of rats received 3 h of DBS terminating 30 min before, or initiating immediately after, a 15-tone extinction session. DBS outside the extinction session was conducted in the home cage, and there was no interruption of stimulation when animals were transferred from one environment to the other. An additional group received infusions of the GABAarylagonist MUS (0.1–0.2 μL of fluorescent MUS; BODIPY TMR-X Conjugate; Sigma-Aldrich), or physiological saline at 15 min before a 15-tone extinction session. On day 3, all rats were presented with 15 tones in the absence of footshocks (extinction retrieval). At the end of the extinction–retrieval session, rats were presented with two unpaired footshocks (0.5 s, 0.56 mA) to reinstate their fear to the extinguished tone on day 4 (reinstatement test; two tones). During all phases of the experiment, the intertone interval was variable (~3 min), and food was available on a variable interval schedule (VI-60). For all experiments, sham control groups were implanted with electrodes and connected to the stimulation cable but never stimulated.

Open-field and spontaneous bar-pressing tasks. After fear-conditioning experiments, a subset of rats was completely extinguished with 20 tones and tested 1 wk later for DBS effects on locomotion and anxiety. Motivation to press a bar for food was also assessed in the operant chambers. Average press rate was 14 (± 6.43) presses per min. Rats pressing <3 or ≥40 presses per min either before or after inactivation were eliminated from the data set (n = 2). Rats were stimulated with DBS or infused with MUS in the same dorsal and ventral DBS sites that modulated extinction memory (Figs. 1 and 3). Activity
complex solution (ABC Elite Kit; Vector Laboratories) for 90 min. Brown immunoreactive cytoplasm labeled for pERK were visualized after 10 min of exposure to a chromogen solution containing 0.3% diaminobenzidine and 0.3% nickel-ammonium sulfate (DAB-Ni) in 0.05 M Tris buffer (pH 7.6) followed by incubation for 5 min in a chromogen solution with glucose oxidase [10% (wt/vol)] and -glucose [10% (wt/vol)]. The reaction was stopped with potassium PBS (pH 7.4). All sections were then mounted in gelatin-coated slides, dehydrated, and covered with coverslips.

**Immunoreactivity Quantification.** pERK-positive cells were counted at 20x magnification with an Olympus BX51 microscope equipped with a digital camera. Images were generated for PL and IL portions of the mPFC, BA, and the amygdala central nucleus (dividing into CeM and CeL). Counting was done in a fixed area of 1,385 mm² in the same position across sections, for PL, IL and BA. For the amygdala central nucleus, the complete area was counted and quantified separately for CeL and CeM. pERK-like immunoreactive cells were identified with the following criteria: cytoplasm size, ranging from 100 to 500 μm²; shape, oval or round; and being distinct from the background at 20x magnification. pERK-positive cells were counted and averaged across two to three distinct rostrocaudal levels for each brain structure with quantitative image analysis software (Metamorph 6.1).

**Data Collection and Analysis.** Behavior was recorded with digital video cameras. Freezing was scored by an observer blind with respect to experimental group. Freezing was defined as the absence of all movement except respiration (66). For parametric data, statistical significance was determined with Student's two-tailed t tests, one-way ANOVA, or repeated-measures ANOVA, followed by Tukey post hoc analysis, when appropriate (STATISTICA; Statsoft). For nonparametric data, statistical significance was determined by Kruskal–Wallis test followed by Mann–Whitney test (STATISTICA; Statsoft).

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**Histology. Electrode placement.** After behavioral experiments, rats were deeply anesthetized with sodium pentobarbital (450 mg/kg i.p.) and perfused transcardially (10 min) with saline (0.9%) followed by paraformaldehyde (10% vol/vol)). Brains were removed and stored in a 30% (wt/vol) sucrose solution for at least 48 h before sectioning.

**Anatomical map of the effects of DBS on extinction memory.** After verifying the placement of each individual electrode tip, we collapsed all placements to one anterior–posterior section (+1.2 mm from bregma). A circle with a 0.35 mm radius was used to depict the area of fixation activation (19). Rats were stimulated with either 100 μA or 200 μA current.

**Immunocytochemistry.** For immunocytochemistry experiments, naïve rats received DBS or sham stimulation for 3 h in their home cage. Immediately after DBS, animals were anesthetized with sodium pentobarbital (450 mg/kg i.p.) and perfused transcardially with 100 ml of saline (0.9%), followed by 500 ml of 4% (vol/vol) paraformaldehyde in 0.1 M phosphate buffer (pH 7.4). Brains were postfixed for 3 h in the same fixative solution and transferred to a solution of 30% (wt/vol) sucrose in 0.1 M phosphate buffer at 4°C for two nights. Brains were then frozen, and series of 40-μm sections were cut with a cryostat (CM 1850; Leica) in the frontal plane and collected at different levels from the mPFC to the amygdala. Alternate sections were processed for the phosphorylated form of the protein kinase pERK, a marker of neuronal activity (ref. 31 for a review), or for Nissl bodies, to determine anatomical boundaries of each structure.

For the first series of sections, anti-pERK serum was raised in rabbit (p-44/42MAPK, 4370L, lot no. 0007; Invitrogen) was used at a dilution of 1:100 overnight. The primary antiserum was localized by using a variation of the avidin-biotin complex system. Sections were incubated for 120 min at room temperature in a solution of biotinylated goat anti-rabbit IgG (Vector Laboratories) and then placed in the mixed avidin-biotin horseradish peroxidase complex solution (ABC Elite Kit; Vector Laboratories) for 90 min. Brown immunoreactive cytoplasm labeled for pERK were visualized after 10 min of exposure to a chromogen solution containing 0.3% diaminobenzidine with 0.3% nickel-ammonium sulfate (DAB-Ni) in 0.05 M Tris buffer (pH 7.6) followed by incubation for 5 min in a chromogen solution with glucose oxidase [10% (wt/vol)] and -glucose [10% (wt/vol)]. The reaction was stopped with potassium PBS (pH 7.4). All sections were then mounted in gelatin-coated slides, dehydrated, and covered with coverslips.


